

PROPOSAL/CERTIFICATION FORM

Date: _____

From: _____

Company

Street Address/P.O. Box

City/State/Zip Code

Contact

E-Mail Address

Phone: _____

Fax: _____

Response to BEA Request for Proposal:

1. Cost/Price:

2. Delivery/Completion

3. Cash Terms

☐ Prompt Payment Discount: ____% for Payment within ____ Days

☐ No Prompt Payment Discount: Net 30 Days

4. Proposal Expiration: (See Proposal Instructions)

☐ 90 Days ☐ Other: _____
(Date)

5. Business Type Certification:

☐ Corporation

☐ Partnership

☐ Individual

☐ Sole Proprietorship

State: _____

☐ Non-resident foreign individual, foreign partnership, foreign corporation, or foreign non-profit entity

If Offeror certifies its business type to be "Individual", "Offeror" further certifies that Offeror [☐ is
☐ is not] a participant in an INL Retirement Plan.

6. As currently defined by the Small Business Administration, Offeror certifies it is a:

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☐ Small: *Select applicable business classification(s)*

- ☐ Native-American Business
- ☐ Woman-owned Business
- ☐ Disadvantaged [☐ 8(a) ☐ non-8(a)] Business*
- ☐ 8a Business*
- ☐ HUB Zone Business*
- ☐ Veteran Owned [☐ Service Disabled]
- ☐ None of the Above

- ☐ Large Business
- ☐ Sheltered workshop
- ☐ Non-profit Business
- ☐ Educational Institution

* Offeror may be requested to provide a copy of the Small Business Certification letter

North American Industrial Classification System (NAICS) Code: _____.

Size Standard: _____.

Description: _____.

7. Any facility to be utilized in the performance of the proposed contract [☐ has ☐ has not], been listed on the Environmental Protection Agency (EPA) List of Violating Facilities. Offeror will promptly notify BEA, prior to award, of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that any facility it proposes to use for the performance of the contract is under consideration to be on the EPA List of Violating Facilities. Offerors will include this certification, including this sentence, in every nonexempt lower-tier contract.

8. DUNS Number: (Required on all proposals \geq \$100K) _____
Federal Tax ID or SS#: _____
Principal Place of Performance: _____

9. The Offeror [☐ is ☐ is not] a parent, division, subsidiary or affiliated or associated company of Battelle Memorial Institute. If the Offeror is, complete the following:

Parent Company

Address

10. The Offeror or its principals certify it [☐ does not ☐ does] appear on the GSA List of Parties Excluded From Federal Procurement or Nonprocurement Programs and [☐ is not ☐ is] proposed for debarment by the Federal Government.

11. Certification of Nonsegregated Facilities

- 11.1. Segregated facilities, as used in this provision, means waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit or directive or are in fact segregated on the basis of race, color, religion, or national origin because of habit, local custom, or otherwise.

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- 11.2. By submission of this offer, the Offeror certifies that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The Offeror agrees that a breach of this certification is a violation of the Equal Opportunity clause in the Contract.
- 11.3. The Offeror further agrees that (except where it has obtained identical certifications from proposed lower-tier subcontractors for specific time periods) it will:
 - 11.3.1. Obtain identical certifications from proposed lower-tier subcontractors before the award of subcontractors under which the subcontractors will be subject to the Equal Opportunity clause:
 - 11.3.2. Retain the certification on file; and
 - 11.3.3. Forward the following notice to the proposed lower-tier subcontractors (except if the proposed subcontractors have submitted identical certifications for specific time periods):

**NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENT FOR
CERTIFICATIONS OF NONSEGREGATED FACILITIES.**

A Certification of Nonsegregated Facilities must be submitted before the award of a subcontract under which the subcontractor will be subject to the Equal Opportunity clause. The certification may be submitted either for each subcontract or for all subcontracts during a period (i.e., quarterly, semiannually, or annually).

12. Cost Accounting Standards Certification

☐ Offeror certifies that modified CAS coverage is applicable, i.e., compliance with CAS 401, CAS 402, CAS 405, and CAS 406 only, based on the exemption(s) claimed as follows:

☐ Received less than \$25 million in net CAS-covered awards in the immediately preceding cost accounting period.

☐ Sum of awards in preceding cost accounting period equaled more than \$25 million in net CAS-covered awards, wherein no single contract award exceeded \$1 million.

Offeror thus claims exemption from the Cost Accounting Standards clause under the provisions of 48 CFR 9903.201-2(b), and certifies that it is eligible for use of the Disclosure and Consistency of Cost Accounting Practices.

☐ Offeror certifies that full CAS coverage is applicable, i.e., it received a net CAS-covered contract award(s) of \$25 million or more; or received a net CAS-covered contract award(s) of \$25 million or more wherein, no single award was less than \$1 million.

The applicable certification regarding submission of a disclosure statement(s) (concurrent or previous) follows:

12.1.1. CERTIFICATE OF CONCURRENT SUBMISSION OF DISCLOSURE STATEMENT(S)

Offeror certifies that it has submitted, as part of its proposal under this solicitation, copies of the disclosure statement(s) as follows: (1) original and one copy to the cognizant Contracting Officer [Administrative Contracting Office, (ACO)], see DOD Directory of Contract Administration Components (DOD 4105.59H); and (2) one copy to the cognizant contract auditor.

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Date of Disclosure Statement(s): _____

Name(s) and Address(es) of Cognizant ACO(s) Where Filed:

12.2. CERTIFICATE OF PREVIOUSLY SUBMITTED DISCLOSURE STATEMENT(S)

Offeror hereby certifies that the disclosure statement(s) were filed as follows:

Date of Disclosure Statement(s): _____

Name(s) and Address(es) of Cognizant ACO(s) Where Filed:

Offeror further certifies that practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the disclosure statement(s).

Offeror shall indicate below whether award of the contemplated subcontract would, in accordance with the Cost Accounting Standards clause (contained in the applicable General Provisions), require a change in its established cost accounting practices affecting existing contracts and subcontracts.

☐ Yes ☐ No

NOTE: If the Offeror has checked "Yes" above, and is awarded the contemplated subcontract, it will be required to comply with the Administration of Cost Accounting Standards clause.

13. Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions

13.1. The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation on Payments to Influence Certain Federal Transactions, included in this solicitation, are hereby incorporated by reference in the following paragraph of this certification.

13.2. Offeror, by signing the offer, hereby certifies that, as of December 23, 1989, to the best of his or her knowledge and belief:

13.2.1. No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement;

13.2.2. If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress on his or her behalf in connection with this solicitation, the offeror shall complete and submit, with its offer, OMB Standard Form LLL, Disclosure Lobbying Activities, to the Contracting Officer; and

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13.2.3. He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.

13.3. Submission of this certification and disclosure is a prerequisite for making or entering into a subcontract imposed by Section 1352, Title 31, United States Code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

14. The undersigned acknowledges receipt:

Offeror's Signature:

Offeror's Printed Name:

Title:

Date:
